October 29, 2021

MEMORANDUM FOR: JEANNETTE J. GALANIS
Acting Assistant Secretary
for Mine Safety and Health

FROM: CAROLYN R. HANTZ
Assistant Inspector General
for Audit

SUBJECT: Audit of COVID-19 Impact on MSHA's Mandatory
Inspections

Please be advised the Office of Inspector General is initiating an audit to
determine how the COVID-19 pandemic impacted MSHA's ability to complete
mandatory safety and health inspections (E01).

We will contact your audit liaison to schedule a meeting to discuss the audit
objective, scope and methodology. The work is expected to begin immediately
after the meeting, and we would appreciate you notifying appropriate officials of
our plans.

To facilitate the start of the audit, we would appreciate it if MSHA could start
providing the items listed in the attached Document and Data Request
immediately.

If you have questions, please contact Nicholas Christopher, Audit Director, at
(312) 353-2176 or christopher.nicholas@oig.dol.gov.

cc: Patricia W. Silvey, Deputy Assistant Secretary for Operations
Nancy M. Rooney, Administrator, Mine Safety and Health Enforcement
Syed Hafeez, Director, Program Evaluation and Information Resources
Chantel Sollers, Audit Liaison, Program Evaluation and Information
Resources

Please provide the following documents to Katie Mitomi, Auditor-in-Charge, at
mitomi.kathleen@oig.dol.gov.

Item #   Description

1.   Copies of MSHA (National, Regional and District) policies,
            procedures and other guidance in effect from October 1, 2017
            through September 30, 2021 applicable to:

            a. COVID-19 adjustments or other E01 inspection process
                changes
            b. List of mine status codes and definitions
            c. Criteria for assigning and changing mine statuses, including
                any business rules
            d. Criteria for calculating the E01 inspection completion rate
            e. E01 inspection reporting requirements
            f. New mine registration and monitoring process
            g. Mine Information Form (2000-209) and instructions sheet

2.   Copies of any more recent versions or pending changes to the
            following MSHA guidance we already have (version date listed):

            a. Program Policy Manual, Volume 1 if different than what's
                currently available on MSHA website
            b. Supervisors' Handbooks, such as:
                i. Mine Safety and Health Enforcement Supervisor's
                    Handbook (AH20-III-1, December 2020)
                ii. Coal Mine Safety and Health Supervisor's Handbook
                    (AH14-III-4, January 2014)
                iii. Metal and Nonmetal Mine Safety and Health Supervisors
                    Handbook (AH09-III-1(1), June 2009)
            c. MSHA Centralized Application System (MCAS) User Manual
                (MSIS User Manual) if different than what's currently
                available on MSHAnet website
            d. MCAS/MSIS Data Dictionary, August 27, 2019
            e. Inspection Application System (IAS) User Guide, June 09,
                2021

3.   Fiscal year reports that show:

            a. Total number of coal mines (all statuses) since October 1,
                2017 per MSHA district
            b. Total number of metal/nonmetal mines (all statuses) since
                October 1, 2017 per MSHA district

4.   Reports that show total number of inspectors per MSHA district per
            fiscal quarter since October 1, 2017

5.   Regular Inspection Activity reports (e.g., PEIR recurring data reports) for each MSHA district per fiscal quarter since October 1, 2017 that show:

    a. Number of required inspections
    b. Number of total inspections
    c. Number of inspections within requirements
    d. Number of inspectors

6.   Copies of accountability audits, internal reviews and evaluations issued since October 1, 2017 related to mine status classifications or changes, completion of E01 inspections, or COVID-19.

7.   Copies of all National, Regions, and Districts organization charts

Please provide the following MCAS data table (no constraints).

Item #	Description
1.	MINES

Please provide the following MCAS data tables for Fiscal Years 2006 - 2021 to X.

Item #	Description
2.	EVENTS (If possible, include total number of inspectors for each EVENT_SEQ_ID.)

Please provide the following MCAS data tables for Fiscal Years 2018 - 2021 to X.

Item #	Description
3.	MINE_STATUSES
4.	OFFICES
5.	P50_QTRLY_QUARTERLY_DATA
6.	P50_QTRLY_QUARTERLY_REPORTS
7.	TIME_REPORT_ACTIVITIES

Provide all code, logs, scripts and workflow diagrams used to query each MCAS data table listed above.